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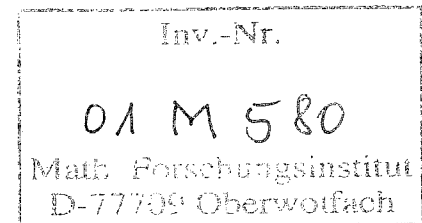
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Volume 197

**Algebraic Geometry 2**  
Sheaves and Cohomology

Kenji Ueno

Translated by  
Goro Kato



**American Mathematical Society**  
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層とコホモロジー

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ABSTRACT. This is the second of three books by the author aimed at introducing the reader to Grothendieck's scheme theory as a method of studying algebraic geometry. This book contains definitions and results related to coherent schemes, proper and projective morphisms, and cohomology of sheaves on schemes. As in the first book, the author includes many examples and problems illustrating the topics discussed in the main text.

The book is aimed at graduate and upper-level undergraduate students who want to learn modern algebraic geometry.

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## Contents

Chapter 4. Coherent Sheaves	1
4.1. Exact Sequence of Sheaves	2
4.2. Quasicoherent Sheaves and Coherent Sheaves	16
4.3. Direct Image and Inverse Image	36
4.4. Schemes and Quasicoherent Sheaves	44
Summary	49
Exercises	50
Chapter 5. Proper and Projective Morphisms	53
5.1. Proper Morphisms	53
5.2. Quasicoherent Sheaves over a Projective Scheme	67
5.3. Projective Morphisms	91
Summary	106
Exercises	107
Chapter 6. Cohomology of Coherent Sheaves	111
6.1. Cohomology of Sheaves	111
6.2. Cohomology of a Projective Scheme	138
6.3. Higher Direct Image	153
Summary	158
Exercises	159
Solutions to Problems	161
Chapter 4	161
Chapter 5	166
Chapter 6	170
Solutions to Exercises	173
Chapter 4	173
Chapter 5	177
Chapter 6	181
Index	183

## Coherent Sheaves

In this chapter we will discuss the most important concept in algebraic geometry: coherent sheaves. Kiyoshi Oka introduced the concept of an ideal with indeterminate domains (that is, the stalk  $\mathcal{O}_{X,x}$  at  $x$  of a sheaf  $\mathcal{O}_X$  of holomorphic functions) and discovered the important properties of the ideal of indeterminate domains. H. Cartan recognized that Oka's work essentially coincided with the notion of Leray's sheaf. Consequently, by introducing the concept of a coherent sheaf, Cartan expressed Oka's results as the coherency of the sheaf of holomorphic functions. Cartan and J.-P. Serre reinterpreted the main results in the theory of holomorphic functions of several complex variables in terms of coherent sheaves. Grothendieck's scheme theory is the ultimate result of Serre's plan. These historical events indicate how important coherent sheaves are. For the applications of coherent sheaves to schemes, we find it more convenient to generalize the notion of a coherent sheaf to that of a quasicohherent sheaf. Following Grothendieck, we will begin with the theory of quasicohherent sheaves. Note that sheaves which are neither coherent nor quasicohherent play an important role in algebraic geometry.

We have briefly described the theory of sheaves. In this chapter we will establish the fundamental properties of sheaves on schemes in detail. Sheaf theory requires one entire book for its full treatment. Consequently, books on algebraic geometry cover sheaf theory only by giving necessary definitions, and then proceed to the next topic. Our intention is to describe sheaves in as much detail as possible. It is often the case that a lack of understanding of sheaf theory causes students to have difficulties grasping algebraic geometry. In order to understand sheaf theory, it is important to witness sheaves in action. For this purpose, we will provide many examples.

The sheaf induced by an  $R$ -module  $M$  over  $\text{Spec } R$  is denoted as either  $\widetilde{M}$  or  $(M)^\sim$ . When the description for  $M$  is long, we will use the latter notation.

### 4.1. Exact Sequence of Sheaves

A homomorphism of sheaves has been described in §2.3(a). We will discuss it fully in this section. We will define the kernel, the image, and the cokernel of a sheaf homomorphism, and show that the notion of sheaves naturally generalizes that of additive groups (abelian groups). All of our sheaves or presheaves are assumed to be sheaves or presheaves of additive groups.

#### (a) Sheafification of Presheaves

We will review the construction of a sheaf of a presheaf  $\mathcal{G}$  over a topological space  $X$  (see Exercise 2.5). Define the stalk  $\mathcal{G}_x$  of  $\mathcal{G}$  at  $x \in X$  as follows:

$$\mathcal{G}_x = \varinjlim_{x \in U} \mathcal{G}(U).$$

The right-hand side is the inductive limit (direct limit) over  $U_x$  of all the open sets containing  $x$  where the order  $U < V$  is defined by  $V \subset U$ . Let  ${}^a\mathcal{G}(U)$  be the collection (totality) of maps  $s$  from  $U$  to  $\bigcup_{x \in U} \mathcal{G}_x$  satisfying the following:

(1)  $s(x) \in \mathcal{G}_x$  for  $x \in U$ .

(2) For each  $x \in U$ , one can choose an open set  $V \subset U$  containing  $x$ , and  $t \in \mathcal{G}(V)$  so that the germ  $t_y \in \mathcal{G}_y$  of  $t$  at an arbitrary point  $y$  in  $V$  coincides with  $s(y)$ . Namely, define

$$(4.1) \quad {}^a\mathcal{G}(U) = \left\{ \{s(x)\} \in \prod_{x \in U} \mathcal{G}_x \mid \begin{array}{l} \text{an open neighborhood } V \subset U \text{ and} \\ t \in \mathcal{G}(V) \text{ can be chosen so that} \\ t_y = s(y), y \in V \end{array} \right\}.$$

The restriction map  $\rho_{V,U} : {}^a\mathcal{G}(U) \rightarrow {}^a\mathcal{G}(V)$  is defined by restricting  $\{s(x)\}_{x \in U}$  to  $\{s(y)\}_{y \in V}$ . Then  ${}^a\mathcal{G}$  is a sheaf of additive groups over  $X$ .

**PROBLEM 1.** Prove that  ${}^a\mathcal{G}$  is a sheaf of additive groups.

**PROBLEM 2.** Prove that the sheaf  ${}^a\mathcal{G}$  coincides with the sheaf  $\tilde{\mathcal{G}}$  as defined in Exercise 2.5.

For presheaves  $\mathcal{G}$  and  $\mathcal{H}$  of additive groups over a topological space  $X$ , a *homomorphism*  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  is defined as follows. For each open set  $U$  in  $X$ , a homomorphism  $\varphi_U : \mathcal{G}(U) \rightarrow \mathcal{H}(U)$  is defined satisfying the compatibility condition, i.e., for open sets  $V \subset U$  the

diagram

$$\begin{array}{ccc} \mathcal{G}(U) & \xrightarrow{\varphi_U} & \mathcal{H}(U) \\ \rho_{V,U}^{\mathcal{G}} \downarrow & & \downarrow \rho_{V,U}^{\mathcal{H}} \\ \mathcal{G}(V) & \xrightarrow{\varphi_V} & \mathcal{H}(V) \end{array}$$

commutes. Namely,  $\varphi$  is a natural transformation from  $\mathcal{G}$  to  $\mathcal{H}$  (see §3.1). In particular, when either  $\mathcal{G}$  or  $\mathcal{H}$  is a sheaf, we can consider a presheaf homomorphism from  $\mathcal{G}$  to  $\mathcal{H}$ . Then a sheaf homomorphism coincides with a presheaf homomorphism. Let  $\text{Hom}_{\text{sheaf}}(\mathcal{G}, \mathcal{H})$  be the totality of homomorphisms from a sheaf  $\mathcal{G}$  to a sheaf  $\mathcal{H}$ , and let  $\text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H})$  be the totality of homomorphisms from a presheaf  $\mathcal{G}$  to a presheaf  $\mathcal{H}$ . That is, we have

$$\text{Hom}_{\text{sheaf}}(\mathcal{G}, \mathcal{H}) = \text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H}).$$

Note also that  $\text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H})$  and  $\text{Hom}_{\text{sheaf}}(\mathcal{G}, \mathcal{H})$  are additive groups. Namely, for  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  and  $\psi : \mathcal{G} \rightarrow \mathcal{H}$ , and for each open set  $U$  of  $X$ , define  $\varphi + \psi$  as follows. For  $s \in \mathcal{G}(U)$ ,

$$(\varphi + \psi)_U(s) \equiv \varphi_U(s) + \psi_U(s).$$

The zero element is the zero map 0, i.e., for each open set  $U$  and  $s \in \mathcal{G}(U)$  the zero map is a homomorphism satisfying

$$O_U(s) = 0.$$

A presheaf (or sheaf) homomorphism  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  is said to be an *isomorphism* if for each open set  $U$  of  $X$ ,  $\varphi_U$  is an isomorphism. Then presheaves (or sheaves)  $\mathcal{G}$  and  $\mathcal{H}$  are said to be *isomorphic*.

For a presheaf  $\mathcal{G}$  over a topological space  $X$ , we constructed a sheaf  ${}^a\mathcal{G}$ . Then, for an open set  $U$  in  $X$ , one can define a homomorphism of additive groups

$$(4.2) \quad \begin{aligned} \theta_U : \mathcal{G}(U) &\rightarrow {}^a\mathcal{G}(U), \\ t &\mapsto \{t_x\}_{x \in U}, \end{aligned}$$

where  $t_x$  is the germ of  $t \in \mathcal{G}(U)$  at  $x$ . From the definition (4.1) and the definition of the restriction map of  ${}^a\mathcal{G}$ , it is clear that (4.2) defines a presheaf homomorphism  $\theta : \mathcal{G} \rightarrow {}^a\mathcal{G}$ .

The following proposition characterizes the sheaf  ${}^a\mathcal{G}$  and the homomorphism  $\theta : \mathcal{G} \rightarrow {}^a\mathcal{G}$ .

PROPOSITION 4.1. (i) For presheaves  $\mathcal{G}$  and  $\mathcal{H}$  of additive groups over a topological space  $X$ , the map determined by the sheaf  ${}^a\mathcal{G}$  of additive groups and the homomorphism of presheaves in (4.2)

$$(4.3) \quad \begin{aligned} \text{Hom}_{\text{sheaf}}({}^a\mathcal{G}, \mathcal{H}) &\rightarrow \text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H}), \\ \varphi &\mapsto \varphi \circ \theta, \end{aligned}$$

is an isomorphism of additive groups. Conversely, for a presheaf  $\mathcal{G}$  of additive groups, the sheaf  ${}^a\mathcal{G}$  and the homomorphism of presheaves  $\theta : \mathcal{G} \rightarrow {}^a\mathcal{G}$  are determined uniquely up to isomorphisms.

(ii) When  $\mathcal{G}$  is a sheaf, we have  ${}^a\mathcal{G} = \mathcal{G}$ .

PROOF. By the definition of the map in (4.3), the map is a homomorphism of additive groups. For  ${}^a\mathcal{G}$  as constructed above, we define a map

$$(4.4) \quad \text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H}) \rightarrow \text{Hom}_{\text{sheaf}}({}^a\mathcal{G}, \mathcal{H}).$$

For a given homomorphism of presheaves  $\psi : \mathcal{G} \rightarrow \mathcal{H}$ , we will show that for an open set  $U$ , one can construct a homomorphism of additive groups  ${}^a\psi_U : {}^a\mathcal{G}(U) \rightarrow \mathcal{H}(U)$  making the diagram

$$\begin{array}{ccc} \mathcal{G}(U) & \xrightarrow{\psi_U} & \mathcal{H}(U) \\ & \searrow \theta_U & \nearrow {}^a\psi_U \\ & & {}^a\mathcal{G}(U) \end{array}$$

commutative. From (4.1), for  $s = \{s(x)\} \in {}^a\mathcal{G}(U)$ , one can find an open covering  $\{V_\lambda\}_{\lambda \in \Lambda}$  of  $U$  and  $t_\lambda \in \mathcal{G}(V_\lambda)$  so that  $s(y) \equiv t_{\lambda_y}$ ,  $y \in V_\lambda$ . Let  $\tilde{t}_\lambda = \varphi_{V_\lambda}(t_\lambda)$ . Then we have  $\tilde{t}_\lambda \in \mathcal{H}(V_\lambda)$ . For  $V_{\lambda\mu} = V_\lambda \cap V_\mu \neq \emptyset$ , we have  $\rho_{V_{\lambda\mu}, V_\lambda}(\tilde{t}_\lambda) = \rho_{V_{\lambda\mu}, V_\mu}(\tilde{t}_\mu)$  since  $\rho_{V_{\lambda\mu}, V_\mu}(t_\lambda) = \rho_{V_{\lambda\mu}, V_\mu}(t_\mu)$ . Since  $\mathcal{H}$  is a sheaf, property (F2) in §2.2 implies that  $\tilde{t} \in \mathcal{H}(U)$  exists satisfying  $\rho_{V_\lambda, U}(\tilde{t}) = t_\lambda$ ,  $\lambda \in \Lambda$ . Furthermore, from (F1) such a  $\tilde{t}$  is uniquely determined. Then define  ${}^a\psi_U(s) = \tilde{t}$ . For  $t \in \mathcal{G}(U)$ , let  $s = \{t_x\} \in {}^a\mathcal{G}(U)$ . We have  $\theta_U(t) = s$  and  $\tilde{t} = \psi_U(t)$ . Namely, we obtain  ${}^a\psi_U \circ \theta_U = \psi_U$ . Consequently, the above diagram is commutative. Therefore,  ${}^a\psi \circ \theta = \psi$  implies that the map (4.3) is surjective.

Conversely, suppose  $\psi = \varphi \circ \theta$ ,  $\varphi \in \text{Hom}_{\text{sheaf}}({}^a\mathcal{G}, \mathcal{H})$ . Then from the construction of  ${}^a\psi$  in the above we get  ${}^a\psi = \varphi$ . Hence, the map (4.3) is injective, and so it is an isomorphism. (The map (4.4) is the inverse map of (4.3).)

Assume that for a sheaf  $\mathcal{F}$  and for a presheaf homomorphism  $\eta : \mathcal{G} \rightarrow \mathcal{F}$ , the map

$$\begin{aligned} \text{Hom}_{\text{sheaf}}(\mathcal{F}, \mathcal{H}) &\rightarrow \text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H}), \\ \varphi &\mapsto \varphi \circ \eta, \end{aligned}$$

is an isomorphism, where  $\mathcal{H}$  is an arbitrary sheaf. In particular, for  $\mathcal{H} = {}^a\mathcal{G}$  and  $\theta : \mathcal{G} \rightarrow {}^a\mathcal{G}$ , a sheaf homomorphism  $\varphi : \mathcal{F} \rightarrow {}^a\mathcal{G}$  is uniquely determined to satisfy  $\theta = \varphi \circ \eta$ . In (4.3) let  $\mathcal{H} = \mathcal{F}$ . Then a sheaf homomorphism  $\psi : {}^a\mathcal{G} \rightarrow \mathcal{F}$  is uniquely determined to satisfy  $\eta = \psi \circ \theta$ . We have  $\theta = \varphi \circ \eta = \theta \circ (\psi \circ \theta) = (\varphi \circ \psi) \circ \theta$ , which implies that, for a sheaf homomorphism  $\varphi \circ \psi : {}^a\mathcal{G} \rightarrow {}^a\mathcal{G}$ , if  $\mathcal{H} = {}^a\mathcal{G}$  in (4.3),  $\varphi \circ \psi$  corresponds to  $\theta \in \text{Hom}_{\text{presheaf}}(\mathcal{G}, \mathcal{H})$ . On the other hand,  $\text{id}_{{}^a\mathcal{G}}$  also corresponds to  $\theta$  in (4.3). Since (4.3) is an isomorphism, we have  $\varphi \circ \psi = \text{id}_{{}^a\mathcal{G}}$ . Furthermore,  $\eta = \psi \circ \theta = \psi \circ (\varphi \circ \eta) = (\psi \circ \varphi) \circ \eta$  implies that the isomorphism (4.5) provides  $\psi \circ \varphi = \text{id}_\mathcal{F}$  as well. Therefore,  $\psi : {}^a\mathcal{G} \rightarrow \mathcal{F}$  is an isomorphism, and  $\eta = \psi \circ \theta$  implies that  $({}^a\mathcal{G}, \theta)$  is uniquely determined up to an isomorphism to satisfy (4.3).

(ii) If  $\mathcal{G}$  is a sheaf, then by properties (F1) and (F2), the definition of  ${}^a\mathcal{G}$  in (4.1) implies  ${}^a\mathcal{G}(U) = \mathcal{G}(U)$ .  $\square$

As was mentioned in Exercise 2.5,  ${}^a\mathcal{G}$  is said to be *sheafification* of a presheaf  $\mathcal{G}$ . The above proposition characterizes the sheafification by the universal mapping property.

EXAMPLE 4.2. For a presheaf  $\mathcal{G}$  and its sheafification  ${}^a\mathcal{G}$  over a topological space  $X$ , their stalks  $\mathcal{G}_x$  and  ${}^a\mathcal{G}_x$  at  $x$  coincide.

PROOF. For an open set  $U$  containing  $x \in X$  and  $s \in {}^a\mathcal{G}(U)$ , one can find  $x \in V \subset U$  and  $t \in \mathcal{G}(V)$  so that  $\rho_{V, U}(s) = \theta_U(t)$ . Hence, we get  $s_x = t_x$ .  $\square$

### (b) Kernels and Cokernels of Sheaf Homomorphisms

For a given homomorphism of sheaves of additive groups  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  over a topological space  $X$ , we will define the kernel and the cokernel of  $\varphi$  as sheaves of additive groups. We will begin with the kernel, which is simpler than the cokernel.

EXERCISE 4.3. Let  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  be a sheaf homomorphism over a topological space  $X$ . For an open set  $U$  of  $X$ , define

$$(4.5) \quad \mathcal{F}(U) = \{s \in \mathcal{G}(U) \mid \varphi_U(s) = 0\}.$$

Then  $\mathcal{F}$  is a sheaf of additive groups over  $X$ .

PROOF. For open sets  $V \subset U$ , let  $\rho_{V,U}^{\mathcal{G}}$  and  $\rho_{V,U}^{\mathcal{H}}$  be the restriction maps of sheaves  $\mathcal{G}$  and  $\mathcal{H}$ , respectively. From the definition of a sheaf homomorphism, we obtain the commutative diagram

$$\begin{array}{ccc} \mathcal{G}(U) & \xrightarrow{\varphi_U} & \mathcal{H}(U) \\ \rho_{V,U}^{\mathcal{G}} \downarrow & & \downarrow \rho_{V,U}^{\mathcal{H}} \\ \mathcal{G}(V) & \xrightarrow{\varphi_V} & \mathcal{H}(V) \end{array}$$

Then we have  $\rho_{V,U}^{\mathcal{G}}(\mathcal{F}(U)) \subset \mathcal{F}(V)$ . Define the restriction map  $\rho_{V,U}$  for  $\mathcal{F}$  as the restriction of  $\rho_{V,U}^{\mathcal{G}}$  to  $\mathcal{F}(U)$ . Then  $\mathcal{F}$  is a presheaf of additive groups over  $X$ .

We will show that  $\mathcal{F}$  satisfies the sheaf properties (F1) and (F2). Since  $\mathcal{G}$  is a sheaf,  $\mathcal{F}$  clearly satisfies (F1). For an open set  $U$  in  $X$ , let  $\{U_j\}_{j \in I}$  be an open covering of  $U$ . Suppose that for  $U_{jk} = U_j \cap U_k \neq \emptyset$  we have  $\rho_{U_{jk}, U_j}(s_j) = \rho_{U_{jk}, U_k}(s_k)$  for  $s_j \in \mathcal{F}(U_j)$ ,  $j \in I$ . By regarding  $s_j \in \mathcal{G}(U_j)$ , there is  $s \in \mathcal{G}(U)$  such that  $\rho_{U_j, U}^{\mathcal{G}}(s) = s_j$ ,  $j \in I$ . Let  $t = \varphi_U(s)$  and  $t_j = \rho_{U_j, U}^{\mathcal{H}}(t)$ . Then  $t_j = \varphi_{U_j}(\rho_{U_j, U}^{\mathcal{G}}(s)) = \varphi_{U_j}(s_j) = 0$ . Since  $\mathcal{H}$  is a sheaf, we get  $t = 0$ . Therefore  $s \in \mathcal{F}(U)$ , satisfying (F2).  $\square$

The sheaf  $\mathcal{F}$  defined by (4.5) is said to be the *kernel* of  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  and is denoted by  $\text{Ker } \varphi$ .

In general, for sheaves  $\mathcal{F}$  and  $\mathcal{G}$ , if  $\mathcal{F}(U)$  is an additive subgroup of  $\mathcal{G}(U)$ , and if the restriction map  $\rho_{V,U} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$  is obtained by restricting  $\rho_{V,U}^{\mathcal{G}}$  to  $\mathcal{F}(U)$ , then  $\mathcal{F}$  is said to be a *subsheaf* of  $\mathcal{G}$ . The above  $\text{Ker } \varphi$  is an example of a subsheaf of  $\mathcal{G}$ . For a sheaf homomorphism  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$ , we will see whether

$$\mathcal{I}(U) = \text{Im } \varphi_U = \{\varphi_U(s) \in \mathcal{H}(U) \mid s \in \mathcal{G}(U)\}$$

is a subsheaf of  $\mathcal{H}$  or not, where  $U$  is an open set of  $X$ . For  $V \subset U$  and for  $t \in \mathcal{I}(U)$ , there is an element  $s \in \mathcal{G}(U)$  satisfying  $t = \varphi_U(s)$ . Hence, we get

$$\rho_{V,U}^{\mathcal{H}}(t) = \rho_{V,U}^{\mathcal{H}}(\varphi_U(s)) = \varphi_V(\rho_{V,U}^{\mathcal{G}}(s)) \in \mathcal{I}(V).$$

Namely,  $\mathcal{I}$  becomes a presheaf whose restriction map is obtained from that of  $\mathcal{H}$ . We will examine (F1) and (F2) for  $\mathcal{I}$ . For an open covering  $\{U_j\}_{j \in J}$  of  $U$ , if  $t \in \mathcal{I}(U)$  satisfies  $\rho_{U_i, U}(t) = 0$ , then as an element of  $\mathcal{H}(U)$  we have  $t = 0$ . Hence, as an element of  $\mathcal{I}(U)$ , we get  $t = 0$ . Namely,  $\mathcal{I}$  satisfies (F1).

Suppose, for an open covering  $\{U_j\}_{j \in J}$  of  $U$ , that  $t_j \in \mathcal{I}(U)$ ,  $j \in J$ , satisfy  $\rho_{U_{jk}, U_j}(t_j) = \rho_{U_{jk}, U_k}(t_k)$ , where  $U_{jk} = U_j \cap U_k \neq \emptyset$ . Since  $\mathcal{H}$  is a sheaf, by regarding  $t_j \in \mathcal{H}(U_j)$ ,  $j \in J$ , there is  $t \in \mathcal{H}(U)$  satisfying  $\rho_{U_j, U}^{\mathcal{H}}(t) = t_j$ . Is  $t \in \mathcal{I}(U)$ ? Namely, we ask whether there exists  $s \in \mathcal{G}(U)$  satisfying  $\varphi_U(s) = t$ .

Since  $t_j \in \mathcal{I}(U_j)$ , there exists  $s_j \in \mathcal{G}(U_j)$  such that  $t_j = \varphi_{U_j}(s_j)$ . Notice that  $s_j$  is not uniquely determined. If  $t_j = \varphi_{U_j}(s'_j)$ ,  $s'_j \in \mathcal{G}(U_j)$ , then  $\varphi_{U_j}(s_j - s'_j) = 0$ , i.e.,  $s_j - s'_j \in (\text{Ker } \varphi)(U_j)$ . That is, for any  $u_j \in (\text{Ker } \varphi)(U_j)$ , we have  $t_j = \varphi_{U_j}(s_j) = \varphi_{U_j}(s_j + u_j)$ . For each  $j \in J$ , choose one element  $s_j \in \mathcal{G}(U_j)$  satisfying  $t_j = \varphi_{U_j}(s_j)$ . Then we would like to choose  $u_j \in (\text{Ker } \varphi)(U_j)$  so that  $\tilde{s}_j = s_j + u_j$  may satisfy  $\rho_{U_{jk}, U_j}^{\mathcal{G}}(\tilde{s}_j) = \rho_{U_{jk}, U_k}^{\mathcal{G}}(\tilde{s}_k)$  for  $U_{jk} = U_j \cap U_k \neq \emptyset$ . If such a choice is possible, then there is  $\tilde{s} \in \mathcal{G}(U)$  such that  $\rho_{U_j, U}^{\mathcal{G}}(\tilde{s}) = \tilde{s}_j$ , and  $t_j = \varphi_{U_j}(\tilde{s}_j)$  would give  $t = \varphi_U(\tilde{s})$ . Thus, the question is if such  $\{u_j\}_{j \in J}$  exist or not. This problem depends upon the sheaf  $\text{Ker } \varphi$ . Let us explain this situation. For  $U_{jk} = U_j \cap U_k \neq \emptyset$ , let

$$(4.6) \quad s_{jk} = \rho_{U_{jk}, U_k}(s_k) - \rho_{U_{jk}, U_j}(s_j) \in \mathcal{G}(U_{jk}).$$

Then  $t_j = \varphi_{U_j}(s_j)$ ,  $t_k = \varphi_{U_k}(s_k)$  and  $\rho_{U_{jk}, U_j}^{\mathcal{H}}(t_j) = \rho_{U_{jk}, U_k}^{\mathcal{H}}(t_k)$  imply  $s_{jk} \in (\text{Ker } \varphi)(U_{jk})$ . Therefore, for  $\{s_{jk}\}$  the question becomes the following. Are there  $u_j \in (\text{Ker } \varphi)(U_j)$ ,  $j \in J$ , such that we get

$$s_{jk} = u_k - u_j, \quad U_{jk} \neq \emptyset?$$

Namely, it is a problem about the sheaf  $\text{Ker } \varphi$ . We have that the  $s_{jk}$  satisfy

$$s_{kj} = -s_{jk}$$

and for  $U_{ijk} = U_i \cap U_j \cap U_k \neq \emptyset$

$$(4.7) \quad \rho_{U_{ijk}, U_i}(s_{ij}) + \rho_{U_{ijk}, U_j}(s_{jk}) + \rho_{U_{ijk}, U_k}(s_{ki}) = 0.$$

Then  $\{s_{jk}\}$  is said to be a *one-cocycle*, which will play an important role for *sheaf cohomology*. We will return to sheaf cohomology in Chapter 6. The notion of the image of a sheaf homomorphism has brought us into sheaf cohomology, indicating that sheaf cohomology theory is a naturally needed concept.

A natural example of a presheaf which does not satisfy (F2) is a cokernel. The sheaf associated to the presheaf  $\mathcal{I}$  is denoted as  $\text{Im } \varphi$ , and  $\text{Im } \varphi$  is said to be the *image* of  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$ .

PROBLEM 3. Prove that the  $s_{jk}$ , defined by (4.6), satisfy (4.7).

We will define the cokernel of a homomorphism  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$ . For each open set  $U$  of  $X$ , define

$$(4.8) \quad \mathcal{C}(U) = \text{Coker } \varphi_U = \mathcal{H}(U)/\text{Im } \varphi_U,$$

which is clearly a presheaf of additive groups. The restriction map  $\rho_{V,U}$  is induced naturally from the restriction map  $\rho_{V,U}^{\mathcal{H}}$ , i.e.,

$$\rho_{V,U}(t \bmod \varphi_U(\mathcal{G}(U))) = \rho_{V,U}^{\mathcal{H}}(t) \bmod \varphi_V(\mathcal{G}(V)).$$

The following example will show that  $\mathcal{C}$  need not be a sheaf.

**EXAMPLE 4.4.** Let  $\mathbb{P}_k^1$  be the one-dimensional projective space over a field  $k$  (see Example 2.31). By Definition (c) of §2.3,  $\mathbb{P}_k^1 = \text{Proj } k[x_0, x_1]$ . Let  $x = x_1/x_0$  and  $y = x_0/x_1$ . Then affine lines

$$U_0 = \text{Spec } k[x] \quad \text{and} \quad U_1 = \text{Spec } k[y]$$

form an open covering for  $\mathbb{P}_k^1$ . The points, denoted as  $\mathfrak{p}_0$  and  $\mathfrak{p}_\infty$ , are determined by homogeneous ideals  $\mathfrak{p}_0 = (x_1)$  and  $\mathfrak{p}_\infty(x_0)$  in  $k[x_0, x_1]$ , respectively. Then  $\mathfrak{p}_0$  is contained in  $U_0$ , which is the point on  $\text{Spec } k[x]$  determined by the ideal  $(x)$  of  $k[x]$ . Namely,  $\mathfrak{p}_0$  is the origin of the affine line  $\text{Spec } k[x]$ . On the other hand, we have  $\mathfrak{p}_\infty \in U_\infty$ , which is the origin of the affine line  $\text{Spec } k[y]$ . Define a subsheaf  $\mathcal{J}$  of  $\mathcal{O}_{\mathbb{P}_k^1}$  as follows:

$$\mathcal{J}(U) = \left\{ \begin{array}{l} \mathcal{O}_{\mathbb{P}_k^1}(U) \text{ if } \mathfrak{p}_0, \mathfrak{p}_\infty \notin U, \\ \left\{ s \in \mathcal{O}_{\mathbb{P}_k^1}(U) \mid \begin{array}{l} s(\mathfrak{p}_0) = 0 \text{ for } \mathfrak{p}_0 \in U, \\ s(\mathfrak{p}_\infty) = 0 \text{ for } \mathfrak{p}_\infty \in U \end{array} \right\} \end{array} \right\}.$$

It is clear that  $\mathcal{J}$  is a subsheaf of  $\mathcal{O}_{\mathbb{P}_k^1}$ . A natural homomorphism  $\iota : \mathcal{J} \rightarrow \mathcal{O}_{\mathbb{P}_k^1}$  is induced by  $\mathcal{J}(U) \subset \mathcal{O}_{\mathbb{P}_k^1}(U)$ . Notice also that for  $U_0 = \text{Spec } k[x]$  we get

$$\mathcal{O}_{\mathbb{P}_k^1}(U_0) = k[x] \quad \text{and} \quad \mathcal{J}(U_0) = (x),$$

and for  $U_1 = \text{Spec } k[y]$ , we get

$$\mathcal{O}_{\mathbb{P}_k^1}(U_1) = k[y] \quad \text{and} \quad \mathcal{J}(U_1) = (y).$$

Next we will show that

$$\Gamma(\mathbb{P}_k^1, \mathcal{O}_{\mathbb{P}_k^1}) = \mathcal{O}_{\mathbb{P}_k^1}(\mathbb{P}_k^1) = k.$$

For  $f \in \mathcal{O}_{\mathbb{P}_k^1}(\mathbb{P}_k^1)$ , let  $F = \rho_{U_0, \mathbb{P}_k^1}(f)$  and  $G = \rho_{U_1, \mathbb{P}_k^1}(f)$ . Then  $f \in k[x]$  and  $G \in k[y]$ . We also get  $U_{01} = U_0 \cap U_1 = \text{Spec } k[x, \frac{1}{x}]$  and  $\rho_{U_{01}, U_0}(F) = \rho_{U_{01}, U_1}(G)$ , where in  $U_{01}$ ,  $y$  (more precisely  $\rho_{U_{01}, U_1}(y)$ ) equals  $1/x$ . Hence  $F(x) = G(1/x)$ . Since  $F$  and  $G$  are polynomials

in  $x$  and  $y$ , respectively,  $F$  and  $G$  are the same constant. Namely, we get  $f \in k$ . Unless  $f = 0$ ,  $f \in k$  does not become 0 at  $\mathfrak{p}_0$  and  $\mathfrak{p}_\infty$ . Therefore,

$$\mathcal{J}(\mathbb{P}_k^1) = 0.$$

Under the above preparation, we will study the presheaf  $\mathcal{C}$  determined by the homomorphism  $\iota : \mathcal{J} \rightarrow \mathcal{O}_{\mathbb{P}_k^1}$ . Since for each open set  $U$  of  $\mathbb{P}_k^1$  we have

$$\mathcal{C}(U) = \text{Coker } \iota_U = \mathcal{O}_{\mathbb{P}_k^1}(U)/\mathcal{J}(U),$$

in particular we get

$$\mathcal{C}(U_0) = k[x]/(x) \simeq k \quad \text{and} \quad \mathcal{C}(U_1) = k[y]/(y) \simeq k.$$

That is, we regard  $\mathcal{C}(U_0) = k$  and  $\mathcal{C}(U_1) = k$ . Since  $\mathfrak{p}_0 \notin U_{01}$  and  $\mathfrak{p}_\infty \notin U_{01}$ , we have  $\mathcal{J}(U_{01}) = \mathcal{O}_{\mathbb{P}_k^1}(U_{01})$ . Consequently,

$$\mathcal{C}(U_{01}) = 0.$$

For the open covering  $\{U_0, U_1\}$  of  $\mathbb{P}_k^1$ , let  $a$  and  $b$  be arbitrary elements in  $k = \mathcal{C}(U_0)$  and  $k = \mathcal{C}(U_1)$ , respectively. Then

$$\rho_{U_{01}, U_0}(a) = 0 \quad \text{and} \quad \rho_{U_{01}, U_1}(b) = 0.$$

In particular, for the case where  $a \neq b$ , since

$$\mathcal{C}(\mathbb{P}_k^1) = \mathcal{O}_{\mathbb{P}_k^1}(\mathbb{P}_k^1)/\mathcal{J}(\mathbb{P}_k^1) = k,$$

one cannot find  $f \in \mathcal{C}(\mathbb{P}_k^1)$  to satisfy  $\rho_{U_0, \mathbb{P}_k^1}(f) = a$  and  $\rho_{U_1, \mathbb{P}_k^1}(f) = b$ . Therefore, the presheaf  $\mathcal{C}$  does not satisfy (F2), i.e.,  $\mathcal{C}$  is not a sheaf.  $\square$

**PROBLEM 4.** For an  $n$ -dimensional projective space

$$\mathbb{P}_k^n = \text{Proj } k[x_0, x_1, \dots, x_n]$$

(see Example 2.32), show that

$$\Gamma(\mathbb{P}_k^n, \mathcal{O}_{\mathbb{P}_k^n}) = k.$$

Thus, for a sheaf homomorphism  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$ , we have the associated sheaf  $\text{Coker } \varphi$ , i.e., the sheafification of the presheaf  $\mathcal{C}$  defined as in (4.8). The sheaf  $\text{Coker } \varphi$  is said to be the *cokernel* of  $\varphi$ . As was explained, the image and cokernel of a sheaf homomorphism need to be sheafified. However, at stalks those associated sheaves are as simple as additive groups.

**THEOREM 4.5.** *Let  $\varphi_x : \mathcal{G}_x \rightarrow \mathcal{H}_x$  be the induced homomorphism of stalks at  $x$  by a homomorphism  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  of sheaves of additive groups. Then the stalks  $(\text{Ker } \varphi)_x, (\text{Im } \varphi)_x, (\text{Coker } \varphi)_x$  of  $\text{Ker } \varphi, \text{Im } \varphi, \text{Coker } \varphi$ , respectively, at  $x$  coincide with the kernel, image, and cokernel of an additive group homomorphism  $\varphi_x$ . Namely, we have*

$$\begin{aligned} (\text{Ker } \varphi)_x &= \text{Ker } \varphi_x, \\ (\text{Im } \varphi)_x &= \text{Im } \varphi_x = \varphi_x(\mathcal{G}_x), \\ (\text{Coker } \varphi)_x &= \text{Coker } \varphi_x = \mathcal{H}_x / \varphi_x(\mathcal{G}_x). \end{aligned}$$

**PROOF.** For an open set  $U$  of  $X$ , let

$$\begin{aligned} \mathcal{F}(U) &= \text{Ker}\{\varphi_U : \mathcal{G}(U) \rightarrow \mathcal{H}(U)\}, \\ \mathcal{I}(U) &= \varphi_U(\mathcal{G}(U)), \\ \mathcal{C}(U) &= \mathcal{H}(U) / \mathcal{I}(U). \end{aligned}$$

Then we have the following exact sequences of additive groups:

$$\begin{aligned} 0 \rightarrow \mathcal{F}(U) \rightarrow \mathcal{G}(U) \rightarrow \mathcal{I}(U) \rightarrow 0, \\ 0 \rightarrow \mathcal{I}(U) \rightarrow \mathcal{H}(U) \rightarrow \mathcal{C}(U) \rightarrow 0. \end{aligned}$$

An inductive limit preserves exactness (see Problem 5, below). Hence, we get

$$\begin{aligned} 0 \rightarrow \varinjlim_{x \in U} \mathcal{F}(U) \rightarrow \varinjlim_{x \in U} \mathcal{G}(U) \rightarrow \varinjlim_{x \in U} \mathcal{I}(U) \rightarrow 0, \\ 0 \rightarrow \varinjlim_{x \in U} \mathcal{I}(U) \rightarrow \varinjlim_{x \in U} \mathcal{H}(U) \rightarrow \varinjlim_{x \in U} \mathcal{C}(U) \rightarrow 0. \end{aligned}$$

Then Example 4.2 implies that the above exact sequences become

$$\begin{aligned} 0 \rightarrow (\text{Ker } \varphi)_x \rightarrow \mathcal{G}_x \rightarrow (\text{Im } \varphi)_x \rightarrow 0, \\ 0 \rightarrow (\text{Im } \varphi)_x \rightarrow \mathcal{H}_x \rightarrow (\text{Coker } \varphi)_x \rightarrow 0. \end{aligned}$$

Since  $\varphi_x$  is precisely the map  $\mathcal{G}_x \rightarrow (\text{Im } \varphi)_x \rightarrow \mathcal{H}_x$ , which is obtained from the above exact sequences, the proof is completed.  $\square$

**PROBLEM 5.** Let  $\Lambda$  be a preordered set and let  $\{L_\lambda, f_{\mu\lambda}\}, \{M_\lambda, g_{\mu\lambda}\}$  and  $\{N_\lambda, h_{\mu\lambda}\}$  be inductive systems of additive groups indexed by  $\Lambda$ . For an exact sequence

$$0 \rightarrow L_\lambda \rightarrow M_\lambda \rightarrow N_\lambda \rightarrow 0, \quad \lambda \in \Lambda,$$

satisfying the commutativity of the diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & L_\lambda & \longrightarrow & M_\lambda & \longrightarrow & N_\lambda \longrightarrow 0 \\ & & \downarrow f_{\mu\lambda} & & \downarrow g_{\mu\lambda} & & \downarrow h_{\mu\lambda} \\ 0 & \longrightarrow & L_\mu & \longrightarrow & M_\mu & \longrightarrow & N_\mu \longrightarrow 0, \end{array}$$

prove that the sequence

$$0 \rightarrow \varinjlim_{\lambda \in \Lambda} L_\lambda \rightarrow \varinjlim_{\lambda \in \Lambda} M_\lambda \rightarrow \varinjlim_{\lambda \in \Lambda} N_\lambda \rightarrow 0$$

is exact.

Let  $\mathcal{F}$  be a subsheaf of a sheaf  $\mathcal{G}$ . For the natural map  $\iota : \mathcal{F} \rightarrow \mathcal{G}$ , denote its cokernel by  $\mathcal{G}/\mathcal{F}$ . The sheaf  $\mathcal{G}/\mathcal{F}$  is said to be the *quotient sheaf* of  $\mathcal{G}$  by the subsheaf  $\mathcal{F}$ . The quotient sheaf  $\mathcal{G}/\mathcal{F}$  is precisely the sheaf associated to the presheaf  $\mathcal{G}(U)/\mathcal{F}(U)$  of additive groups.

**EXAMPLE 4.6.** Recall the subsheaf  $\mathcal{J}$  of the structure sheaf  $\mathcal{O}_{\mathbb{P}_k^1}$  of a one-dimensional projective space  $\mathbb{P}_k^1$  over a field  $k$  (see Example 4.4). Since for  $x \neq \mathfrak{p}_0, \mathfrak{p}_\infty$  we have  $\mathcal{J}_x = \mathcal{O}_{\mathbb{P}_k^1, x}$ , the associated sheaf  $\mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J}$  of the presheaf  $\mathcal{C}$  in Example 4.4 has a zero stalk (i.e., trivial additive group) at  $x$ . Furthermore, since  $\mathcal{J}_{\mathfrak{p}_0}$  is the ideal of  $\mathcal{O}_{\mathbb{P}_k^1, \mathfrak{p}_0}$  generated at  $x$ , we obtain  $(\mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J})_{\mathfrak{p}_0} = \mathcal{O}_{\mathbb{P}_k^1, \mathfrak{p}_0}/\mathcal{J}_{\mathfrak{p}_0} \xrightarrow{\sim} k$ . Therefore, the stalks of  $\mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J}$  at  $\mathfrak{p}_0$  and  $\mathfrak{p}_\infty$  are  $k$ , and 0 otherwise. This result gives an impression that skyscrapers stand only at  $\mathfrak{p}_0$  and  $\mathfrak{p}_\infty$ . Hence, the sheaf  $\mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J}$  is sometimes called a *skyscraper sheaf*. We have  $\Gamma(\mathbb{P}_k^1, \mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J}) \xrightarrow{\sim} k \oplus k$ .  $\square$

### (c) Exact Sequences

Let

$$(4.9) \quad \cdots \rightarrow \mathcal{F}_{i-1} \xrightarrow{\varphi_{i-1}} \mathcal{F}_i \xrightarrow{\varphi_i} \mathcal{F}_{i+1} \xrightarrow{\varphi_{i+1}} \cdots$$

be a sequence of sheaves  $\mathcal{F}_i$  of additive groups over a topological space  $X$ , where each  $\varphi_i$  is an additive group homomorphism. When  $\text{Im } \varphi_{i-1} = \text{Ker } \varphi_i$  for each  $i$ , the sequence (4.9) is said to be *exact*. Let 0 be the sheaf which assigns a trivial additive group for each open set of  $X$ .

For a sheaf homomorphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$ , as in the case of additive groups,  $\varphi$  is said to be *injective* when  $\text{Ker } \varphi = 0$ , and *surjective* when  $\text{Im } \varphi = \mathcal{G}$ . In terms of exact sequences,  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is injective if the sequence

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G}$$



is exact and  $\varphi$  is surjective if the sequence

$$\mathcal{F} \rightarrow \mathcal{G} \rightarrow 0$$

is exact. Furthermore, the sequence

$$(4.10) \quad 0 \rightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H} \rightarrow 0$$

is exact when  $\varphi$  is injective,  $\psi$  is surjective, and  $\text{Im } \varphi = \text{Ker } \psi$ . When (4.10) is exact, it is called a *short exact sequence*. Short exact sequences will appear often in this book.

In particular, if  $\mathcal{F}$  is a subsheaf of  $\mathcal{G}$ , the inclusion  $\mathcal{F}(U) \subset \mathcal{G}(U)$  induces the injective natural map  $\iota : \mathcal{F} \rightarrow \mathcal{G}$ . Then we have the exact sequence

$$0 \rightarrow \mathcal{F} \xrightarrow{\iota} \mathcal{G} \rightarrow \mathcal{G}/\mathcal{F} \rightarrow 0.$$

This and the exact sequence (4.10) imply that  $\mathcal{H}$  is isomorphic to  $\mathcal{G}/\mathcal{F}$ .

**PROBLEM 6.** Prove that an injective and surjective homomorphism  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  is an isomorphism, i.e., for each open set  $U$ ,  $\varphi_U : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is an isomorphism.

From Theorem 4.5, we clearly obtain the following proposition.

**PROPOSITION 4.7.** *For sheaves of additive groups over a topological space  $X$ , the sequence (4.9) is exact if and only if the sequence of stalks at each  $x$  in  $X$*

$$\cdots \rightarrow \mathcal{F}_{i-1,x} \xrightarrow{\varphi_{i-1,x}} \mathcal{F}_{i,x} \xrightarrow{\varphi_{i,x}} \mathcal{F}_{i+1,x} \xrightarrow{\varphi_{i+1,x}} \cdots$$

is an exact sequence of additive group homomorphisms.  $\square$

**PROPOSITION 4.8.** *For an exact sequence*

$$0 \rightarrow \mathcal{F} \xrightarrow{\varphi} \mathcal{G} \xrightarrow{\psi} \mathcal{H}$$

of sheaves of additive groups over a topological space  $X$  and for each open set  $U$  of  $X$ , we have the following exact sequence of additive groups:

$$0 \rightarrow \Gamma(U, \mathcal{F}) \xrightarrow{\varphi_U} \Gamma(U, \mathcal{G}) \xrightarrow{\psi_U} \Gamma(U, \mathcal{H}).$$

However,  $\psi_U$  need not be surjective even if  $\psi$  is surjective.

**PROOF.** For the induced sequence of additive groups

$$0 \rightarrow \Gamma(U, \mathcal{F}) = \mathcal{F}(U) \xrightarrow{\gamma_U} \Gamma(U, \mathcal{G}) = \mathcal{G}(U) \xrightarrow{\psi_U} \Gamma(U, \mathcal{H}) = \mathcal{H}(U),$$

since  $\varphi$  is injective, the sheaf property (F1) implies the injectivity of  $\varphi_U$ . Consider  $t \in \mathcal{G}(U)$  such that  $\psi_U(t) = 0$ . Since  $\text{Ker } \psi_x = \text{Im } \varphi_x$ , there is  $s_x \in \mathcal{F}_x$  satisfying  $\varphi_x(s_x) = t_x$ . Since  $\varphi_x$  is injective, this  $s_x$  is uniquely determined. Choose an open neighborhood  $V$  of  $x$  and  $s_V \in \mathcal{F}(V)$  so that the germ of  $s_V$  at  $x$  is  $s_x$ . Then there exists a small enough open neighborhood  $W \subset V$  such that  $\rho_{W,V}(\varphi_V(s_V)) = \rho_{W,V}(t)$ . Thus, we get an open covering  $\{U_j\}_{j \in J}$  of  $U$  and  $s_j \in \mathcal{F}(U_j)$  so that  $\varphi_{U_j}(s_j) = \rho_{U_j,U}(t)$ . Since  $\varphi_{U_j}$  is injective,  $s_j$  is uniquely determined. On  $U_{jk} = U_j \cap U_k \neq \emptyset$ ,  $\varphi_{U_{jk}}$  is also injective. Hence we have  $\rho_{U_{jk},U_j}(s_j) = \rho_{U_{jk},U_k}(s_k)$ . Therefore, there exists  $s \in \mathcal{F}(U)$  such that  $\varphi_{U_j}(s) = s_j$ ,  $j \in J$ . Namely,  $\text{Im } \varphi_U = \text{Ker } \psi_U$ .

From Example 4.4, we have the exact sequence

$$0 \rightarrow \mathcal{J} \rightarrow \mathcal{O}_{\mathbb{P}_k^1} \rightarrow \mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J} \rightarrow 0.$$

As shown in Example 4.4, we have  $\Gamma(\mathbb{P}_k^1, \mathcal{O}_{\mathbb{P}_k^1}) = k$ . From Example 4.6, we have  $\Gamma(\mathbb{P}_k^1, \mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J}) = k \oplus k$ . Hence the homomorphism

$$\Gamma(\mathbb{P}_k^1, \mathcal{O}_{\mathbb{P}_k^1}) \rightarrow \Gamma(\mathbb{P}_k^1, \mathcal{O}_{\mathbb{P}_k^1}/\mathcal{J})$$

cannot be surjective. Therefore, for a surjective  $\psi$ ,  $\psi_U$  need not be surjective.  $\square$

Hence, for a short exact sequence of sheaves

$$0 \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{H} \rightarrow 0,$$

the sequence of sections over an open set  $U$  is guaranteed to be exact only as

$$0 \rightarrow \Gamma(U, \mathcal{F}) \rightarrow \Gamma(U, \mathcal{G}) \rightarrow \Gamma(U, \mathcal{H}).$$

This lack of exactness on the right necessitates the cohomology of sheaves, which makes algebraic geometry difficult and more interesting.

Note that, as explained above, for a surjective sheaf homomorphism  $\psi : \mathcal{G} \rightarrow \mathcal{H}$  and for a section  $t \in \mathcal{H}(U)$  over an open set  $U$ , at each point  $x$  in  $U$  there exists an open neighborhood  $V \subset U$  of  $x$  satisfying  $\psi_V(s_V) = \rho_{V,U}(t)$  for some  $s_V \in \mathcal{G}(V)$ . In general  $V \neq U$ , i.e.,  $\psi_U$  need not be surjective. One can find an open covering  $\{U_j\}_{j \in J}$  of  $U$  and  $s_j \in \mathcal{G}(U_j)$  so that  $\psi_{U_j}(s_j) = \rho_{U_j,U}(t)$ . Cohomology's role is to describe when one can choose  $\{s_j\}$  to get  $s \in \mathcal{G}(U)$  and  $\psi_U(s) = t$ .

**EXAMPLE 4.9.** Let  $\mathcal{O}_X$  be the sheaf of holomorphic functions over the complex plane  $X = \mathbb{C}$  (i.e., regular functions). See Example 2.18(3). Let  $\mathcal{M}_X$  be the sheaf of meromorphic functions associated

to the set of all meromorphic functions on an open set  $U$  of  $X$  (locally the quotient  $f/g$  of holomorphic, i.e., regular functions). By the natural inclusion  $\mathcal{O}_X(U) \subset \mathcal{M}_X$ ,  $\mathcal{O}_X$  may be considered as a subsheaf of  $\mathcal{M}_X$ . We have the exact sequence

$$(4.11) \quad 0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{M}_X \rightarrow \mathcal{M}_X/\mathcal{O}_X \rightarrow 0.$$

For an open set  $U$ , we will study an element of  $\Gamma(U, \mathcal{M}_X/\mathcal{O}_X)$ . By the sheafification (4.1) of a presheaf,  $t \in \Gamma(U, \mathcal{M}_X/\mathcal{O}_X)$  may be considered such that the  $t_j \in \mathcal{M}_X(U_j)/\mathcal{O}(U_j)$ ,  $j \in J$ , where  $\{U_j\}_{j \in J}$  is a properly chosen open covering of  $U$ , satisfy the following. Namely, the restrictions of  $t_j$  and  $t_k$  on  $U_{jk} = U_j \cap U_k \neq \emptyset$  coincide. Let  $\tilde{t}_j$  be a meromorphic function on  $U_j$  satisfying  $t_j \equiv \tilde{t}_j \pmod{\mathcal{O}_X(U_j)}$ . Then we get  $\tilde{t}_j - \tilde{t}_k \in \mathcal{O}(U_{jk})$ . On the other hand, a meromorphic function on  $U_j$  can have only isolated poles. If necessary, take a smaller  $U_j$  so that in  $U_j$ ,  $\tilde{t}_j$  may have finitely many poles  $a_1^{(j)}, \dots, a_{n_j}^{(j)}$  whose principle part (the negative exponent terms) of the Laurent expansion is

$$p_i^{(j)} = \frac{\alpha_{k_j^{(i)}}^{(j)}}{(z - a_i^{(j)})^{k_j^{(i)}}} + \frac{\alpha_{k_j^{(i)}-1}^{(j)}}{(z - a_i^{(j)})^{k_j^{(i)}-1}} + \dots + \frac{\alpha_{-1}^{(j)}}{z - a_i^{(j)}}.$$

Then  $\tilde{t}_j - \sum_{i=1}^{n_j} p_i^{(j)}$  is holomorphic in  $U_j$ . Therefore,

$$t_j \in \mathcal{M}_X(U_j)/\mathcal{O}_X(U_j),$$

which is determined by  $\tilde{t}_j$ , associates points  $a_i^{(j)}$ ,  $1 \leq i \leq n_j$ , and the principal part  $p_i^{(j)}$  of the Laurent expansion. On  $U_{jk} \neq \emptyset$ ,  $\tilde{t}_j - \tilde{t}_k$  being holomorphic means that the principal parts of the Laurent expansions of  $\tilde{t}_j$  and  $\tilde{t}_k$  at the poles in  $U_{jk}$  coincide. Hence, to give  $t \in \Gamma(U, \mathcal{M}_X/\mathcal{O}_X)$  is to give a sequence  $\{a_\lambda\}$ , without an accumulating point in  $U$ , and the principal part

$$(4.12) \quad \frac{\alpha_{k_\lambda}^{(\lambda)}}{(z - a_\lambda)^{k_\lambda}} + \frac{\alpha_{k_\lambda-1}^{(\lambda)}}{(z - a_\lambda)^{k_\lambda-1}} + \dots + \frac{\alpha_{-1}^{(\lambda)}}{z - a_\lambda}$$

of the pole at  $a_\lambda$ . In the induced exact sequence

$$0 \rightarrow \Gamma(U, \mathcal{O}_X) \rightarrow \Gamma(U, \mathcal{M}_X) \xrightarrow{f} \Gamma(U, \mathcal{M}_X/\mathcal{O}_X)$$

from the exact sequence (4.11), in order for  $t \in \Gamma(U, \mathcal{M}_X/\mathcal{O}_X)$  to be the image of the above homomorphism  $f$ ,  $t$  must have (4.12) as the principal part of the Laurent expansion at  $a_j$ . There also exists a

meromorphic function that is holomorphic in  $U \setminus \{a_\lambda\}$ . The Mittag-Leffler theorem in complex analysis implies that  $f$  is indeed surjective.  $\square$

We can extend the above example to the case of a domain  $D$  in  $\mathbb{C}^n$ . For  $n \geq 2$ , the poles of a meromorphic function are not isolated. Hence, the situation is more complicated. Then an element of  $\Gamma(D, \mathcal{M}_D/\mathcal{O}_D)$  is said to be a *Cousin distribution*. The Cousin problem is to determine whether the Cousin distribution is the image of a meromorphic function or not. A Cousin problem is one of the intriguing problems for the development of the theory of holomorphic functions in several complex variables.

The above sheaf  $\mathcal{M}_X$  corresponds to the *sheaf field of fractions*  $\mathcal{K}_X$  for a scheme  $X$ .

In general, for a commutative ring  $R$ , the totality  $S$  of non-zero-divisors is multiplicatively closed. Then  $S^{-1}R$  is said to be the *ring of total quotients*, denoted by  $Q(R)$ . If  $R$  is an integral domain, then  $S = R \setminus \{0\}$ . Then the ring of total quotients is exactly the *quotient field*. When  $R$  possesses a zero divisor, the ring of total quotients  $Q(R)$  is not a field.

For an affine open set  $U$  of a scheme  $X$ , the ring of total quotients  $Q(\Gamma(U, \mathcal{O}_X))$  of  $\Gamma(U, \mathcal{O}_X)$  defines a presheaf. Let  $\mathcal{K}_X$  be the associated sheaf, i.e., the sheafification of the presheaf  $Q(\Gamma(U, \mathcal{O}_X))$ . Note also that for affine open sets  $V \subset U$ , the restriction map  $\Gamma(U, \mathcal{O}_X) \rightarrow \Gamma(V, \mathcal{O}_X)$  induces a homomorphism  $Q(\Gamma(U, \mathcal{O}_X)) \rightarrow Q(\Gamma(V, \mathcal{O}_X))$  of rings of total quotients.

**EXERCISE 4.10.** For an affine open set  $U$  of a Noetherian scheme  $X$ , we have

$$\Gamma(U, \mathcal{K}_X) = Q(\Gamma(U, \mathcal{O}_X)).$$

Furthermore, for each  $x \in X$ , we also have  $\mathcal{K}_{X,x} = Q(\mathcal{O}_{X,x})$ .

**PROOF.** Let  $U = \text{Spec } R$  be an affine open set of  $X$ , where  $R$  is a Noetherian ring. Choose  $f_1, f_2, \dots, f_n \in R$  so that  $\{U_i = D(f_i)\}$ ,  $i = 1, 2, \dots, n$ , is an open covering of  $U$ . Namely,  $1 \in (f_1, f_2, \dots, f_n)$ .

We will prove the following two assertions.

- (1) If the image in  $Q(R_{f_i})$  of  $\alpha \in Q(R)$  is 0 for  $1 \leq i \leq n$ , then  $\alpha = 0$ .
- (2) For arbitrary  $i$  and  $j$ , if the image in  $Q(R_{f_i f_j})$  of  $\alpha_i \in Q(R_{f_i})$  coincides with the image in  $Q(R_{f_i f_j})$  of  $\alpha_j \in Q(R_{f_j})$ , then there exists  $\alpha \in Q(R)$  whose image in  $Q(R_{f_i})$  is  $\alpha_i$ .

The above assertions imply that for an affine open set  $U$  of  $X$ , the presheaf  $Q(\Gamma(U, \mathcal{O}_X))$  is a sheaf, i.e., it satisfies (F1) and (F2). Therefore, for an affine open set, we have  $\Gamma(U, \mathcal{K}_X) = Q(\Gamma(U, \mathcal{O}_X))$ .

**PROOF OF (1).** Let  $\alpha = \frac{b}{a}$ ,  $a, b \in R$ , where  $a$  not a zero divisor. From the hypothesis, there exists a positive integer  $m_i$  so that  $f_i^{m_i}b = 0$ . Let  $m = \max_{1 \leq i \leq n} m_i$ . Then, for all  $i$ , we get  $f_i^m b = 0$ . Since  $1 \in (f_1, \dots, f_n)$ , we have  $1 = \sum_{i=1}^n a_i f_i$ ,  $a_i \in R$ . The  $nm$ -th power of both sides gives  $1 = \sum_{i=1}^n c_i f_i^m$  for some  $c_i \in R$ . Then,  $b = 1 \cdot b = \sum c_i (f_i^m b) = 0$ , i.e.,  $\alpha = 0$ .  $\square$

**PROOF OF (2).** Let  $\alpha_i = \frac{b_i}{a_i}$ ,  $a_i, b_i \in R_{f_i}$ . If necessary, by replacing  $a_i$  and  $b_i$  by  $f_i^l a_i$  and  $f_i^l b_i$ , respectively, one may assume  $a_i, b_i \in R$ . When  $\frac{b_i}{a_i} = \frac{b_j}{a_j}$  over  $U_i \cap U_j = D(f_i) \cap D(f_j) = D(f_i f_j)$ , we can find  $N$  satisfying  $(f_i f_j)^N (a_i b_j - a_j b_i) = 0$ . Then, by multiplying a power of  $f_i$  to  $a_i$  and  $b_i$ , we can assume that  $a_i b_j - a_j b_i = 0$  for all  $i$  and  $j$ . Let

$$I = \{r \in R \mid \text{for all } i, rb_i \text{ belongs to the ideal } (a_i) \text{ of } R_{f_i}\}.$$

Then  $I$  is an ideal. Since  $a_j b_i = a_i b_j \in (a_i)$ , we have  $a_1, a_2, \dots, a_n \in I$ . The Noetherianness of  $R$  implies that we can write  $I = (c_1, \dots, c_s)$ . If  $cc_j = 0$ ,  $1 \leq j \leq n$ , then since  $a_i \in I$ , we get  $ca_i = 0$ ,  $1 \leq i \leq s$ . Since  $a_i$  is not a zero divisor in  $R_{f_i}$ ,  $c$  is 0 in  $R_{f_i}$ . Namely, there exists a positive integer  $M$  so that  $f_i^M c = 0$  for all  $i$ . As before, we conclude that  $c = 0$ . Thus  $I$  contains a non-zero-divisor  $\alpha$ . The definition of  $I$  implies that there is  $\alpha_i \in R_{f_i}$  to satisfy  $\alpha b_i = \alpha_i a_i$ . That is,  $\frac{\alpha b_i}{a_i} \in \Gamma(U_i, \mathcal{O}_X)$ . Since  $\frac{b_i}{a_i} = \frac{b_j}{a_j}$  over  $U_i \cap U_j$ , we get  $\frac{\alpha b_i}{a_i} = \frac{\alpha b_j}{a_j}$ . Then  $\frac{\alpha b_i}{a_i}$ ,  $1 \leq i \leq n$ , define an element  $\beta$  in  $\Gamma(U, \mathcal{O}_X)$ . Therefore, the image of  $\frac{\beta}{\alpha} \in Q(\Gamma(U, \mathcal{O}_X))$  in  $\Gamma(U_i, \mathcal{O}_X)$  is  $\frac{b_i}{a_i}$ , i.e.,  $\frac{\beta}{\alpha}$  is the element that we seek. The last claim is obvious from  $\Gamma(U, \mathcal{K}_X) = Q(\Gamma(U, \mathcal{O}_X))$ .  $\square$

Since for an affine open set  $U$ ,  $Q(\Gamma(U, \mathcal{O}_X))$  is a  $\Gamma(U, \mathcal{O}_X)$ -module,  $\mathcal{K}_X$  is an  $\mathcal{O}_X$ -module. However,  $\mathcal{K}_X$  is not a quasicoherent  $\mathcal{O}_X$ -module in general (see the next section for quasicoherent sheaves).

## 4.2. Quasicoherent Sheaves and Coherent Sheaves

All sheaves that we have seen so far are sheaves of additive groups. In this section, we will study sheaves of  $\mathcal{O}_X$ -modules. Then we will focus on quasicoherent sheaves and coherent sheaves, which play an important role in algebraic geometry. Even though the theory can be built on ringed spaces, we will develop it over schemes.

### (a) $\mathcal{O}_X$ -Modules

For an affine scheme, we have already considered  $\mathcal{O}_X$ -modules in §2.3(a). We will begin with the definition of an  $\mathcal{O}_X$ -module over a general scheme.

A sheaf  $\mathcal{F}$  over a scheme  $(X, \mathcal{O}_X)$  is said to be an  $\mathcal{O}_X$ -module when the following condition is satisfied: to each open set  $U$ ,  $\mathcal{F}(U)$  is an  $\mathcal{O}_X(U)$ -module such that for open sets  $V \subset U$  the diagram

$$\begin{array}{ccc} \mathcal{O}_X(U) \times \mathcal{F}(U) & \longrightarrow & \mathcal{F}(U) \\ \downarrow & & \downarrow \\ \mathcal{O}_X(V) \times \mathcal{F}(V) & \longrightarrow & \mathcal{F}(V) \end{array}$$

commutes, where the horizontal maps indicate  $\mathcal{O}_X(U)$  and  $\mathcal{O}_X(V)$  module structures on  $\mathcal{F}(U)$  and  $\mathcal{F}(V)$ , respectively. Note that the stalk  $\mathcal{F}_x$  at  $x$  of  $\mathcal{F}$  is an  $\mathcal{O}_{X,x}$ -module. Namely, let  $s \in \mathcal{O}_X(U)$  and  $t \in \mathcal{F}(V)$  induce  $a \in \mathcal{O}_{X,x}$  and  $f \in \mathcal{F}_x$  at  $x$ . Then choose  $W$  containing  $x$  so that  $W \subset V \cap U$ . Define  $\hat{s} = \rho_{W,U}(s)$  and  $\hat{t} = \rho_{W,V}^{\mathcal{F}}(t)$ . Then the germ determined by  $\hat{s} \cdot \hat{t} \in \mathcal{F}(W)$  is precisely  $af$ .

For  $\mathcal{O}_X$ -modules  $\mathcal{F}$  and  $\mathcal{G}$ , let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a homomorphism of sheaves of additive groups. When  $\varphi$  is compatible with the  $\mathcal{O}_X$ -module structures of  $\mathcal{F}$  and  $\mathcal{G}$ , namely, for an open set  $U$ , the diagram

$$\begin{array}{ccc} \mathcal{O}_X(U) \times \mathcal{F}(U) & \xrightarrow{(\text{id}_{\mathcal{O}_X(U)}, \varphi_U)} & \mathcal{O}_X(U) \times \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \mathcal{F}(U) & \xrightarrow{\varphi_U} & \mathcal{G}(U) \end{array}$$

commutes, then  $\varphi$  is said to be a *homomorphism of  $\mathcal{O}_X$ -modules*, or an  *$\mathcal{O}_X$ -module homomorphism*. Notice that at stalks,  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is an  $\mathcal{O}_{X,x}$ -module homomorphism.

For  $\mathcal{O}_X$ -modules  $\mathcal{F}$  and  $\mathcal{G}$ , the totality of all the  $\mathcal{O}_X$ -module homomorphisms from  $\mathcal{F}$  to  $\mathcal{G}$  is denoted by  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$ .

**PROBLEM 7.** Show that  $\text{Hom}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is a  $\Gamma(X, \mathcal{O}_X)$ -module.

**PROBLEM 8.** For an  $\mathcal{O}_X$ -module  $\mathcal{F}$  over  $X$  and for an open set  $U$ ,

$$\text{Hom}_{\mathcal{O}_X(U)}(\mathcal{O}_X|_U, \mathcal{F}|_U) \simeq \mathcal{F}(U)$$

is an isomorphism.

Various  $\mathcal{O}_X$ -modules will be defined in this section. The next lemma will play a crucial role for those  $\mathcal{O}_X$ -modules.

LEMMA 4.11. *Let  $\mathcal{G}$  be a presheaf of additive groups over a scheme  $(X, \mathcal{O}_X)$ . A presheaf of  $\mathcal{O}_X$ -modules can be defined just as we defined a sheaf of  $\mathcal{O}_X$ -modules. Then the sheafification  ${}^a\mathcal{G}$ , i.e., the associated sheaf to  $\mathcal{G}$ , is a sheaf of  $\mathcal{O}_X$ -modules.*

PROOF. We will show that  ${}^a\mathcal{G}(U)$  in (4.1) is an  $\mathcal{O}_X(U)$ -module. Let  $b \in \mathcal{O}_X(U)$  and let  $\{s(x)\}_{x \in U} \in {}^a\mathcal{G}(U)$ . Then define  $b \cdot \{s(x)\}$  by  $b_x \{s(x)\}$ , where  $b_x$  is the germ of  $b$  at  $x$ . For an arbitrary point  $x$  in  $U$ , choose an open set  $V \subset U$  and  $t \in \mathcal{G}(U)$  to satisfy  $ty = s(y)$ ,  $y \in V$ . Then we have  $\tilde{t} = \rho_{V,U}(b)t \in \mathcal{G}(V)$ , and we have  $\tilde{t}_y = b_y s(y)$  for all  $y \in V$ . Hence, we get  $b \cdot \{s(x)\} \in {}^a\mathcal{G}(U)$ . It is clear that with this operation  ${}^a\mathcal{G}(U)$  becomes an  $\mathcal{O}_X(U)$ -module. The compatibility with the restriction map can be easily shown.  $\square$

COROLLARY 4.12. *The kernel, image, and cokernel of an  $\mathcal{O}_X$ -module homomorphism  $\varphi : \mathcal{G} \rightarrow \mathcal{H}$  are  $\mathcal{O}_X$ -modules.*

PROOF. For an open set  $U$  we get  $\mathcal{O}_X(U)$ -modules  $\text{Ker } \varphi_U, \text{Im } \varphi_U$  and  $\text{Coker } \varphi_U$ , which are compatible with the restriction map. Therefore  $\text{Ker } \varphi_U$  is an  $\mathcal{O}_X$ -module, and from Lemma 4.11,  $\text{Im } \varphi_U$  and  $\text{Coker } \varphi_U$  are also  $\mathcal{O}_X$ -modules.  $\square$

Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves of additive groups. For each open set  $U$ , the direct sum  $\mathcal{F}(U) \oplus \mathcal{G}(U)$  of additive groups is a sheaf. We shall denote this sheaf by  $\mathcal{F} \oplus \mathcal{G}$ , and call it the direct sum of sheaves  $\mathcal{F}$  and  $\mathcal{G}$ . When  $\mathcal{F}$  and  $\mathcal{G}$  are sheaves of  $\mathcal{O}_X$ -modules,  $\mathcal{F} \oplus \mathcal{G}$  is also an  $\mathcal{O}_X$ -module. We often write  $\mathcal{O}_X^{\oplus n}$  for  $\underbrace{\mathcal{O}_X \oplus \cdots \oplus \mathcal{O}_X}_n$ , or even more

simply  $\mathcal{O}_X^n$ . If an  $\mathcal{O}_X$ -module  $\mathcal{F}$  is isomorphic to  $\mathcal{O}_X^n$  as  $\mathcal{O}_X$ -modules,  $\mathcal{F}$  is said to be a *free module of rank  $n$* . An  $\mathcal{O}_X$ -module  $\mathcal{F}$  is said to be a *locally free  $\mathcal{O}_X$ -module of rank  $n$*  if there exists an open covering  $\{U_j\}_{j \in J}$  of  $X$  such that the restriction  $\mathcal{F}|_{U_j}$  of  $\mathcal{F}$  to  $U_j$  is a free module of rank  $n$  over  $\mathcal{O}_{U_j} = \mathcal{O}_X|_{U_j}$ . A locally free  $\mathcal{O}_X$ -module of rank  $n$  is also called a *locally free sheaf of rank  $n$* . In particular, when  $n = 1$ , a locally free sheaf of rank one is said to be an *invertible sheaf* over  $X$ . The notion of an invertible sheaf is very important in algebraic geometry.

EXAMPLE 4.13. A morphism of schemes  $f : W \rightarrow X$  is said to be a *vector bundle* when the following conditions (V1) and (V2) are satisfied:

(V1) For an open covering  $\{U_i\}_{i \in I}$  and for each  $i \in I$ , there exists a scheme isomorphism over  $U_i$

$$\varphi_i : f^{-1}(U_i) \simeq \mathbb{A}_{U_i}^n = \mathbb{A}_{\mathbb{Z}}^n \times_{\text{Spec } \mathbb{Z}} U_i.$$

(V2) When  $U_i \cap U_j \neq \emptyset$ , for an arbitrary affine scheme  $V = \text{Spec } R \subset U_i \cap U_j$ , the isomorphism  $\varphi_{ij} = \varphi_i \circ \varphi_j^{-1} |_{\mathbb{A}_V^n} : \mathbb{A}_V^n \rightarrow \mathbb{A}_V^n$  is the scheme isomorphism induced by a linear automorphism

$$\theta_{ij} : R[x_1, x_2, \dots, x_n] \rightarrow R[x_1, x_2, \dots, x_n],$$

$$\theta_{ij}(x_k) = \sum_{l=1}^n a_{kl} x_l, \quad a_{kl} \in R.$$

A vector bundle of rank 1 is called a *line bundle*, and they are especially important in algebraic geometry.

Let  $f : W \rightarrow X$  be a vector bundle of rank  $n$ . For an arbitrary open set  $U$  of  $X$ , define

$$\mathcal{F}(U) = \{s : U \rightarrow W \mid s \text{ is a scheme morphism satisfying } f \circ s = \text{id}_U\}.$$

An element of  $\mathcal{F}(U)$  is called a *section* of  $f : W \rightarrow X$  over  $U$ . For an affine open set  $U \subset U_i$ , where  $U = \text{Spec } A$ ,  $f^{-1}(U)$  can be identified with  $\mathbb{A}_U^n = \text{Spec } A[x_1, x_2, \dots, x_n]$  as schemes over  $U$ . Since  $s(U) \subset f^{-1}(U)$ , this identification implies that a section  $s : U \rightarrow W \in \mathcal{F}(U)$  corresponds to an  $A$ -homomorphism  $\sigma : A[x_1, x_2, \dots, x_n] \rightarrow A$ . On the other hand, when  $\sigma$  is given, we are given  $a_i = \sigma(x_i) \in A$ ,  $i = 1, 2, \dots, n$ . This correspondence is one-to-one. Therefore, as sets, we get  $\mathcal{F}(U) \simeq A^{\oplus n}$ . This isomorphism defines a free  $A$ -module structure on  $\mathcal{F}(U)$ .

When  $U \subset U_i \cap U_j$ , two free  $A$ -module structures are induced on  $\mathcal{F}(U)$ . However, (V2) implies that they are isomorphic.

For a general  $U$ , choose an affine open covering  $\{V_\lambda\}_{\lambda \in \Lambda}$  of  $U$  that has the above property. Then, for  $s, t \in \mathcal{F}(U)$ , define  $s + t$  to be  $\rho_{V_\lambda, U}(s) + \rho_{V_\lambda, U}(t)$  over  $V_\lambda$ . Thus, we can define an  $\mathcal{O}_X(U)$ -module structure on  $\mathcal{F}(U)$ . Namely,  $\mathcal{F}$  becomes an  $\mathcal{O}_X$ -module. The above argument and condition (V1) imply that  $\mathcal{F}$  is a locally free sheaf of rank  $n$ . This sheaf is sometimes said to be the *sheaf of local sections* of a vector bundle  $f : W \rightarrow X$ .

Conversely, for a given locally free sheaf  $\mathcal{F}$  of rank  $n$  over  $X$ , one can show that there exists a sheaf of local sections over  $X$  isomorphic to  $\mathcal{F}$  as  $\mathcal{O}_X$ -modules.  $\square$

EXERCISE 4.14. Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves of  $\mathcal{O}_X$ -modules. Then, for an open set  $U$ , the presheaf  $\text{Hom}_{\mathcal{O}_X|U}(\mathcal{F}|U, \mathcal{G}|U)$  is a sheaf. This

sheaf is denoted as  $\underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$ . If  $\mathcal{F}$  is a free  $\mathcal{O}_X$ -module of rank  $n$ , then  $\underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is isomorphic to  $\mathcal{G}^{\oplus n}$  as  $\mathcal{O}_X$ -modules. Furthermore, for an exact sequence of  $\mathcal{O}_X$ -modules

$$0 \rightarrow \mathcal{H}_1 \rightarrow \mathcal{H}_2 \rightarrow \mathcal{H}_3 \rightarrow 0,$$

we have exact sequences

$$\begin{aligned} 0 &\rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{H}_3, \mathcal{F}) \rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{H}_2, \mathcal{F}) \rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{H}_1, \mathcal{F}), \\ 0 &\rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{H}_1) \rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{H}_2) \rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{H}_3). \end{aligned}$$

PROOF. For simplicity's sake, set  $\mathcal{H}(U) = \text{Hom}_{\mathcal{O}_X|U}(\mathcal{F}|U, \mathcal{G}|U)$ . Let  $\{U_j\}_{j \in J}$  be an open covering of  $U$  and let  $\varphi \in \mathcal{H}(U)$ . Then the restriction map  $\rho_{U_j, U}$  is the natural restriction of a homomorphism of sheaves. Notice that  $\mathcal{H}$  is a presheaf of  $\mathcal{O}_X$ -modules. Suppose  $\varphi_j = \rho_{U_j, U}(\varphi) = 0$ ,  $j \in J$ . For an arbitrary open set  $V \subset U$  and an arbitrary section  $s \in \mathcal{F}(V)$ , let  $V_j = U_j \cap V$ . Then

$$\varphi_j|_{V_j}(\rho_{V_j, V}(s)) = 0, \quad j \in J,$$

i.e.,  $\varphi_V(s) = 0$ . Consequently,  $\varphi = 0$ . Next, for  $\varphi_j \in \mathcal{H}(U_j)$ ,  $j \in J$ , suppose  $\rho_{U_{ij}, U_i}(\varphi_i) = \rho_{U_{ij}, U_j}(\varphi_j)$ . For an arbitrary open set  $V \subset U$  and an arbitrary section  $s \in \mathcal{F}(V)$ , let  $t_j = \varphi_j|_{V_j}(\rho_{V_j, V}(s))$ ,  $j \in J$ . Then we have  $\rho_{V_{ij}, V_i}^{\mathcal{G}}(t_i) = \rho_{V_{ij}, V_j}^{\mathcal{G}}(t_j)$  by the assumption. Therefore, there exists  $t \in \mathcal{F}(U)$  satisfying  $\rho_{V_j, V}(t) = t_j$ ,  $j \in J$ . This  $t$  is uniquely determined. Then define  $\varphi_V(s) = t$ , i.e., obtaining  $\varphi_V \in \mathcal{H}(V)$ . Since  $V$  is an arbitrary open set of  $U$ , we have just proved the existence of  $\varphi \in \mathcal{H}(U)$  to satisfy  $\varphi_j = \rho_{U_j, U}(\varphi)$ ,  $j \in J$ .

For  $\mathcal{F} \simeq \mathcal{O}_X^{\oplus n}$ , we have

$$\mathcal{H}(U) \simeq \text{Hom}_{\mathcal{O}_X|U}((\mathcal{O}_X|U)^{\oplus n}, \mathcal{G}|U) \simeq \mathcal{G}(U)^{\oplus n},$$

i.e.,  $\mathcal{H} \simeq \mathcal{G}^{\oplus n}$ .

It is easy to see that

$$0 \rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{H}_3, \mathcal{F}) \xrightarrow{g^*} \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{H}_2, \mathcal{F}) \xrightarrow{f^*} \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{H}_1, \mathcal{F})$$

is an exact sequence of  $\mathcal{O}_X$ -modules. For an open set  $U$  of  $X$  and for  $\varphi \in \text{Hom}_{\mathcal{O}_U}(\mathcal{H}_3|U, \mathcal{F}|U)$ , let us assume  $\varphi \circ g|U = 0$ . For an open set  $V$  and  $t \in \mathcal{H}_3(V)$ , one can choose an open covering  $\{W_j\}_{j \in J}$  and  $s_j \in \mathcal{H}_2(W_j)$  satisfying  $g_{W_j}(s_j) = \rho_{W_j, V}(t)$ . Since we have  $\varphi_{W_j}(\rho_{W_j, V}(t)) = \varphi_{W_j}(g_{W_j}(s_j)) = 0$ , we get  $\varphi_V(t) = 0$ . That is,  $\varphi = 0$ . Hence  $g^*$  is injective.

Next, suppose that  $\psi \in \text{Hom}_{\mathcal{O}_U}(\mathcal{H}_2|U, \mathcal{F}|U)$  satisfies  $\psi \circ f|U = 0$ . Then  $\psi$  is identically a zero map on  $\text{Im } f|U$ . Hence, we can consider

$\psi \in \text{Hom}_{\mathcal{O}_U}((\mathcal{H}_2/\text{Im } f)|U, \mathcal{F}|U)$ . There is  $\varphi \in \text{Hom}_{\mathcal{O}_U}(\mathcal{H}_3|U, \mathcal{F}|U)$  to satisfy  $\varphi = \psi \circ g|U$ . Consequently, we obtain  $\text{Im } g^* = \text{Ker } f^*$ .

The exactness of the last sequence can be proved in a similar way.  $\square$

PROBLEM 9. For  $\mathcal{O}_X$ -modules  $\mathcal{F}, \mathcal{G}$  and  $\mathcal{H}$ , prove the following isomorphisms of  $\mathcal{O}_X$ -modules:

$$\begin{aligned} \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F} \oplus \mathcal{G}, \mathcal{H}) &\simeq \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{H}) \oplus \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{G}, \mathcal{H}), \\ \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G} \oplus \mathcal{H}) &\simeq \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G}) \oplus \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{H}). \end{aligned}$$

Let  $\mathcal{F}$  and  $\mathcal{G}$  be  $\mathcal{O}_X$ -modules and let  $U$  be an open set in  $X$ . Then the assignment of an  $\mathcal{O}_X(U)$ -module

$$\mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$$

is a presheaf of  $\mathcal{O}_X$ -modules. The sheaf associated to this presheaf is denoted as  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$ , and is called the *tensor product* of the  $\mathcal{O}_X$ -modules  $\mathcal{F}$  and  $\mathcal{G}$ . Note that  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  is also an  $\mathcal{O}_X$ -module.

EXERCISE 4.15. (1) The stalk  $(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})_x$  at  $x$  of the tensor product  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  of  $\mathcal{O}_X$ -modules  $\mathcal{F}$  and  $\mathcal{G}$  is isomorphic to  $\mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x$  as  $\mathcal{O}_{X,x}$ -modules.

(2) For an exact sequence of  $\mathcal{O}_X$ -modules

$$\mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0,$$

the sequence obtained by tensoring with an  $\mathcal{O}_X$ -module  $\mathcal{G}$  over  $\mathcal{O}_X$ ,

$$\mathcal{F}_1 \otimes_{\mathcal{O}_X} \mathcal{G} \rightarrow \mathcal{F}_2 \otimes_{\mathcal{O}_X} \mathcal{G} \rightarrow \mathcal{F}_3 \otimes_{\mathcal{O}_X} \mathcal{G} \rightarrow 0,$$

is exact.

PROOF. (1) By the definition of sheafification, we have

$$(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}) = \varinjlim_{x \in U} \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U).$$

For an open set  $U$  containing  $x$ , the  $\mathcal{O}_X(U)$ -module homomorphism

$$\mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U) \rightarrow \mathcal{F}_x \oplus_{\mathcal{O}_{X,x}} \mathcal{G}_x$$

induces an  $\mathcal{O}_{X,x}$ -module homomorphism

$$\varphi : (\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})_x \rightarrow \mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x.$$

Note that a bilinear homomorphism of  $\mathcal{O}_{X,x}$ -modules

$$\Psi : \mathcal{F}_x \times \mathcal{G}_x \rightarrow (\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})_x$$

can be defined. For  $f_x \in \mathcal{F}_x$  and  $g_x \in \mathcal{G}_x$ , choose an open set  $U$  containing  $x$ , and choose  $f \in \mathcal{F}(U)$  and  $g \in \mathcal{G}(U)$  so that the germs

of  $f$  and  $g$  at  $x$  are  $f_x$  and  $g_x$ , respectively. Let  $\Psi(f_x, g_x)$  be the element of  $(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})_x$  determined by  $f \otimes g \in \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$ . It is clear that  $\Psi(f_x, g_x)$  does not depend upon the choices of  $U$  and  $f$  and  $g$ . One can also show that, for  $a_x, b_x \in \mathcal{O}_{X,x}$ ,  $f_x, f_{1x}, f_{2x} \in \mathcal{F}_x$  and  $g_x, g_{1x}, g_{2x} \in \mathcal{G}_x$ ,

$$\begin{aligned} \Psi(a_x f_{1x} + b_x f_{2x}, g_x) &= a_x \Psi(f_{1x}, g_x) + b_x \Psi(f_{2x}, g_x), \\ \Psi(f_x, a_x g_{1x} + b_x g_{2x}) &= a_x \Psi(f_x, g_{1x}) + b_x \Psi(f_x, g_{2x}). \end{aligned}$$

Therefore, by the universal mapping property of tensor products (see §2.3), we obtain the following commutative diagram:

$$\begin{array}{ccc} \mathcal{F}_x \times \mathcal{G}_x & \xrightarrow{\quad \quad \quad} & \mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x \\ & \searrow \Psi & \swarrow \psi \\ & (\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})_x & \end{array}$$

where  $\psi$  is a uniquely determined  $\mathcal{O}_{X,x}$ -homomorphism. Since  $\varphi(\Psi(f_x, g_x)) = f_x \otimes g_x$ , we have  $\varphi \circ \psi = \text{id}$ . The definition of  $\varphi$  implies that for  $f \otimes g \in \mathcal{F}(U) \otimes_{\mathcal{O}_X(U)} \mathcal{G}(U)$  we have

$$\varphi((f \otimes g)_x) = f_x \otimes g_x \in \mathcal{F}_x \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x,$$

and from the definition of  $\psi$ , we also have  $\psi(f_x \otimes g_x) = (f \otimes g)_x$ . Namely,  $\psi \circ \varphi = \text{id}$ . Therefore,  $\varphi$  and  $\psi$  are isomorphisms of  $\mathcal{O}_{X,x}$ -modules.

(2) For an exact sequence of modules over a commutative ring  $R$

$$M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0,$$

and for an  $R$ -module  $N$ , we have an exact sequence

$$M_1 \otimes_R N \rightarrow M_2 \otimes_R N \rightarrow M_3 \otimes_R N \rightarrow 0,$$

by tensoring with  $N$  over  $R$ . Since  $(\mathcal{F}_j \otimes_{\mathcal{O}_X} \mathcal{G})_x = \mathcal{F}_{j,x} \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x$ , we get the exact sequence in (2).  $\square$

**EXAMPLE 4.16.** For invertible sheaves  $\mathcal{L}$  and  $\mathcal{M}$  over a scheme  $X$ ,  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{M}$  is also an invertible sheaf. Put  $\mathcal{L}^{-1} = \underline{\text{Hom}}_{\mathcal{O}_X}(\mathcal{L}, \mathcal{O}_X)$ . Then one can define a natural  $\mathcal{O}_X$ -homomorphism

$$\begin{aligned} \varphi : \mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}^{-1} &\rightarrow \mathcal{O}_X, \\ a \otimes f &\mapsto f(a). \end{aligned}$$

For an affine open  $U$  satisfying  $\mathcal{L}|_U \simeq \mathcal{O}_U$ , we get

$$\mathcal{L}^{-1}|_U \simeq \underline{\text{Hom}}_{\mathcal{O}_U}(\mathcal{O}_U, \mathcal{O}_U) \simeq \mathcal{O}_U.$$

Hence, over  $U$ ,  $\varphi$  is an  $\mathcal{O}_U$ -isomorphism. Namely, we have  $\mathcal{L} \otimes_{\mathcal{O}_X} \mathcal{L}^{-1} \simeq \mathcal{O}_X$ . Therefore, under the tensor product, isomorphic classes of invertible sheaves as  $\mathcal{O}_X$ -modules form a group. This group is called the *Picard group* of  $X$ , and is denoted as  $\text{Pic } X$ . Define  $\mathcal{L}^{\otimes n}$  (or simply  $\mathcal{L}^n$ ) as  $\underbrace{\mathcal{L} \otimes \cdots \otimes \mathcal{L}}_n$ , and for  $n = -m$ ,  $m \geq 1$ , define  $\mathcal{L}^{\otimes n} = (\mathcal{L}^{-1})^{\otimes m}$ . Note that we define  $\mathcal{L}^0 = \mathcal{O}_X$ .

When, for an arbitrary exact sequence of  $R$ -modules

$$0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0,$$

we have an exact sequence

$$0 \rightarrow M_1 \otimes_R N \rightarrow M_2 \otimes_R N \rightarrow M_3 \otimes_R N \rightarrow 0,$$

then the  $R$ -module  $N$  is said to be an  *$R$ -flat module*. Similarly, for an arbitrary exact sequence of  $\mathcal{O}_X$ -modules

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0,$$

if the induced sequence

$$0 \rightarrow \mathcal{F}_1 \otimes_{\mathcal{O}_X} \mathcal{G} \rightarrow \mathcal{F}_2 \otimes_{\mathcal{O}_X} \mathcal{G} \rightarrow \mathcal{F}_3 \otimes_{\mathcal{O}_X} \mathcal{G} \rightarrow 0$$

is also exact, then the  $\mathcal{O}_X$ -module  $\mathcal{G}$  is said to be an  *$\mathcal{O}_X$ -flat sheaf*. Since  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X \simeq \mathcal{F}$ ,  $\mathcal{O}_X$  is an  $\mathcal{O}_X$ -flat sheaf. Since we have  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{O}_X^{\oplus n} \simeq \mathcal{F}^{\oplus n}$ , an  $\mathcal{O}_X$ -free sheaf is also an  $\mathcal{O}_X$ -flat sheaf. We can generalize the above result as follows.

**LEMMA 4.17.** (i) *A locally free  $\mathcal{O}_X$ -module (i.e., locally  $\mathcal{O}_X$ -free sheaf) is an  $\mathcal{O}_X$ -flat sheaf.*

(ii) *An  $\mathcal{O}_X$ -module  $\mathcal{G}$  is an  $\mathcal{O}_X$ -flat sheaf if and only if  $\mathcal{G}_x$  is an  $\mathcal{O}_{X,x}$ -flat sheaf at each point  $x \in X$ .*

**PROOF.** The stalk  $\mathcal{G}_x$  of a locally  $\mathcal{O}_X$ -free sheaf  $\mathcal{G}$  at  $x$  is an  $\mathcal{O}_{X,x}$ -flat module. Hence, (ii) implies (i). Since  $(\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G})_x \simeq \mathcal{F}_{X,x} \otimes_{\mathcal{O}_{X,x}} \mathcal{G}_x$ , we get (ii).  $\square$

### (b) Quasicoherent Sheaves

Among modules over a commutative ring  $R$ , *finitely generated  $R$ -modules* and *finitely presented  $R$ -modules* are important (a finitely presented  $R$ -module is a module isomorphic to the cokernel of a homomorphism  $\varphi : R^{\oplus m} \rightarrow R^{\oplus n}$ ). As for  $\mathcal{O}_X$ -modules, coherent sheaves play an important role. We shall begin with a definition. We will denote the sheaf  $\mathcal{O}_X|_U$  restricted to an open set  $U$  simply by  $\mathcal{O}_U$ .

DEFINITION 4.18. Let  $\mathcal{F}$  be an  $\mathcal{O}_X$ -module.

(i) If for each point  $x$  in  $X$  there is an open neighborhood  $U$  of  $x$  so that the sequence of  $\mathcal{O}_U$ -modules

$$\mathcal{O}_U^{\oplus I} \rightarrow \mathcal{O}_U^{\oplus J} \rightarrow \mathcal{F}|_U \rightarrow 0$$

is exact, then  $\mathcal{F}$  is said to be *quasicoherent*, or a *quasicoherent sheaf*. Note that  $I$  and  $J$  need not be finite sets, and also that the cardinalities of  $I$  and  $J$  may vary for various points.

(ii) If for each  $x$  in  $X$ , there exists an open set  $U$  containing  $x$  so that the sequence of  $\mathcal{O}_U$ -modules

$$\mathcal{O}_U^{\oplus n} \rightarrow \mathcal{F}|_U \rightarrow 0$$

is exact, then  $\mathcal{F}$  is said to be a *finitely generated  $\mathcal{O}_X$ -module*. Note that  $n$  may vary at different points  $x$ . (Such a sheaf  $\mathcal{F}$  might better be called a locally finitely generated  $\mathcal{O}_X$ -module. But we follow the customary phrase.)

EXAMPLE 4.19. (1) The sheaf  $\mathcal{O}_X$  is finitely generated and quasicoherent as an  $\mathcal{O}_X$ -module.

(2) Let  $X = \text{Spec } R$  be an affine scheme. For  $R$ -modules  $M$  and  $N$ , we showed in Example 2.25 that an  $\mathcal{O}_X$ -module  $\widetilde{M}$  is induced. We also showed that for an  $R$ -module homomorphism  $\varphi : M \rightarrow N$  there is induced an  $\mathcal{O}_X$ -module homomorphism  $\widetilde{\varphi} : \widetilde{M} \rightarrow \widetilde{N}$ . For a point  $\mathfrak{p} \in \text{Spec } R$ , a homomorphism between the stalks is precisely the localized homomorphism at  $\mathfrak{p}$ , i.e.,  $\varphi_{\mathfrak{p}} : M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$ . The localization of  $R$ -modules preserves exactness (see Problem 10 below). For an exact sequence of  $R$ -modules

$$M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow M_4 \rightarrow \cdots,$$

the induced sequence of  $\mathcal{O}_X$ -modules

$$\widetilde{M}_1 \rightarrow \widetilde{M}_2 \rightarrow \widetilde{M}_3 \rightarrow \widetilde{M}_4 \rightarrow \cdots$$

is exact. From this fact, the  $\mathcal{O}_X$ -module  $\widetilde{M}$  determined by an  $R$ -module  $M$  is quasicoherent. This is because every  $R$ -module  $M$  can satisfy an exact sequence of the form

$$R^{\oplus I} \rightarrow R^{\oplus J} \rightarrow M \rightarrow 0.$$

For a finitely generated  $R$ -module  $M$ , let  $s_1, s_2, \dots, s_n$  be a set of generators for  $M$  as an  $R$ -module. Then we get a surjective homomorphism

$$\begin{aligned} R^{\oplus n} &\rightarrow M \rightarrow 0, \\ (a_1, \dots, a_n) &\mapsto \sum_{j=1}^n a_j s_j, \end{aligned}$$

inducing the surjective  $\mathcal{O}_X$ -homomorphism

$$\mathcal{O}_X^{\oplus n} \rightarrow \widetilde{M} \rightarrow 0.$$

Namely,  $\widetilde{M}$  is a finitely generated  $\mathcal{O}_X$ -module. (For an affine scheme such as the above case, one can take  $U = X$  in Definition 4.18(ii). As we will show later, we may not take  $U = X$  if  $X$  is a general scheme.)  $\square$

PROBLEM 10. For an exact sequence of  $R$ -modules

$$\cdots \rightarrow M^{(1)} \rightarrow M^{(2)} \rightarrow M^{(3)} \rightarrow \cdots,$$

show that the corresponding sequence

$$\cdots \rightarrow M_{\mathfrak{p}}^{(1)} \rightarrow M_{\mathfrak{p}}^{(2)} \rightarrow M_{\mathfrak{p}}^{(3)} \rightarrow \cdots$$

obtained by the localization at a prime ideal  $\mathfrak{p}$  of  $R$  is also exact.

The above example of an affine scheme is essential. We have more precise results for an affine scheme, as follows.

PROPOSITION 4.20. Let  $(X, \mathcal{O}_X)$  be the affine scheme determined by a commutative ring  $R$ .

(i) The  $\mathcal{O}_X$ -module  $\widetilde{M}$  determined by an  $R$ -module  $M$  is quasicoherent, and for an open set  $D(f)$  of  $X$

$$(4.13) \quad \Gamma(D(f), \widetilde{M}) = M_f,$$

and in particular

$$\Gamma(X, \widetilde{M}) = M.$$

(ii) For an  $R$ -module homomorphism  $\varphi : M \rightarrow N$ , the map

$$\Phi : \text{Hom}_R(M, N) \rightarrow \underline{\text{Hom}}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N})$$

assigning an  $\mathcal{O}_X$ -module homomorphism  $\widetilde{\varphi}$  is an isomorphism of  $R$ -modules.

(iii) For  $R$ -modules  $M$  and  $N$  we have isomorphisms of  $\mathcal{O}_X$ -modules  $\widetilde{M} \oplus \widetilde{N} \simeq (\widetilde{M \oplus N})^\sim$  and  $\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N} \simeq (\widetilde{M \otimes_R N})^\sim$ . Furthermore, if  $M$  is a finitely presented  $R$ -module, we have an isomorphism

$$\underline{\mathrm{Hom}}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N}) \simeq (\mathrm{Hom}_R(M, N))^\sim.$$

PROOF. (i) The coherency of the sheaf  $\widetilde{M}$  has been shown in Example 4.19, and (4.13) follows from the construction of  $\widetilde{M}$  in Example 2.25.

(ii) For  $f \in \underline{\mathrm{Hom}}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N})$ , assign  $\varphi = f_X : \Gamma(X, \widetilde{M}) = M \rightarrow \Gamma(X, \widetilde{N}) = N$ . We denote this map by  $\Psi$ . Then we have  $\Psi \circ \Phi = \mathrm{id}$ . On the other hand, for  $\mathfrak{p} \in X = \mathrm{Spec} R$ ,  $f$  induces an  $\mathcal{O}_{X, \mathfrak{p}} = R_{\mathfrak{p}}$ -homomorphism  $f_{\mathfrak{p}} : M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$  between the stalks at  $\mathfrak{p}$ . (Notice that from Example 2.25 we have  $\widetilde{M}_{\mathfrak{p}} = M_{\mathfrak{p}}$ .) The  $\mathcal{O}_X$ -module homomorphism  $\widetilde{\varphi} : \widetilde{M} \rightarrow \widetilde{N}$  induced by  $\Psi(f) = \varphi = f_X : M \rightarrow N$  determines the  $R_{\mathfrak{p}}$ -homomorphism  $\widetilde{\varphi}_{\mathfrak{p}} : M_{\mathfrak{p}} \rightarrow N_{\mathfrak{p}}$  on the stalks at  $\mathfrak{p}$ . Notice that for  $\frac{m}{s} \in M_{\mathfrak{p}}$ ,  $m \in M$ , and  $s \in R \setminus \mathfrak{p}$  we have

$$\widetilde{\varphi}_{\mathfrak{p}}\left(\frac{m}{s}\right) = \frac{\varphi(m)}{s} = \frac{f_X(m)}{s}.$$

Since  $m \in M$  determines an element  $\frac{m}{1}$  in  $M_{\mathfrak{p}}$ , the  $R_{\mathfrak{p}}$ -homomorphism  $f_{\mathfrak{p}}$  satisfies

$$f_{\mathfrak{p}}\left(\frac{m}{s}\right) = f_{\mathfrak{p}}\left(\frac{1}{s} \cdot \frac{m}{1}\right) = \frac{1}{s} f_{\mathfrak{p}}\left(\frac{m}{1}\right) = \frac{1}{s} f_X(m),$$

i.e.,  $\widetilde{\varphi}_{\mathfrak{p}} = f_{\mathfrak{p}}$ . Hence we obtain  $\Phi \circ \Psi = \mathrm{id}$ . Since  $\Phi$  is a homomorphism of  $R$ -modules,  $\Phi$  is an  $R$ -module isomorphism.

(iii) As in Example 2.25, for an open set  $D(f)$ , the sheaf  $\widetilde{M} \oplus \widetilde{N}$  is associated with  $M_f \oplus N_f$ . The first isomorphism in (iii) follows from  $M_f \oplus N_f = (\widetilde{M \oplus N})_f$ . We get the sheaf  $\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N}$  by sheafifying the presheaf  $\widetilde{M}(U) \otimes_{\mathcal{O}_X(U)} \widetilde{N}(U)$  for an open set  $U$ . In particular, for  $U = D(f)$  the corresponding additive group is  $M_f \otimes_{R_f} N_f$ . On the other hand, as  $R_f$ -modules,  $M_f \otimes_{R_f} N_f$  is isomorphic to  $(M \otimes_R N)_f$  (see Problem 11, below). Hence, as  $\mathcal{O}_X$ -modules,  $\widetilde{M} \otimes_{\mathcal{O}_X} \widetilde{N}$  is isomorphic to  $(M \otimes_R N)^\sim$ . By the definition,  $\underline{\mathrm{Hom}}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N})(D(f)) = \mathrm{Hom}_{R_f}(M_f, N_f)$ . Since  $M$  is a finitely presented  $R$ -module, we have an exact sequence

$$R^{\oplus A} \xrightarrow{\varphi} R^{\oplus b} \xrightarrow{\psi} M \rightarrow 0,$$

where  $a$  and  $b$  are positive integers. This exact sequence implies that

$$R_f^{\oplus a} \xrightarrow{\varphi_f} R_f^{\oplus b} \xrightarrow{\psi_f} M_f \rightarrow 0$$

is exact. Therefore, we obtain the following exact sequence:

$$0 \rightarrow \widetilde{\mathrm{Hom}}_{R_f}(M_f, N_f) \xrightarrow{\psi_f^*} \mathrm{Hom}_{R_f}(R_f^{\oplus b}, N_f) \xrightarrow{\varphi_f^*} \mathrm{Hom}_{R_f}(R_f^{\oplus a}, N_f).$$

Since we have isomorphisms

$$\mathrm{Hom}_{R_f}(R_f^{\oplus b}, N_f) \simeq N_f^{\oplus b} \simeq (\mathrm{Hom}_R(R^{\oplus b}, N))_f,$$

$$\mathrm{Hom}_{R_f}(R_f^{\oplus a}, N_f) \simeq N_f^{\oplus a} \simeq (\mathrm{Hom}_R(R^{\oplus a}, N))_f,$$

and also  $\varphi_f^*$  is the localized  $\varphi^* : \mathrm{Hom}_R(R^{\oplus b}, N) \rightarrow \mathrm{Hom}_R(R^{\oplus a}, N)$  at  $f$ , we conclude that  $\mathrm{Ker} \varphi_f^*$  is the localized  $\mathrm{Ker} \varphi^*$  at  $f$ . Since  $\mathrm{Im}\{\psi^* : \mathrm{Hom}_R(M, N) \rightarrow \mathrm{Hom}_R(R^{\oplus a}, N)\} = \mathrm{Ker} \varphi^*$  and  $\mathrm{Im} \psi_f^* = \mathrm{Ker} \varphi_f^*$ , there exists an  $R_f$ -isomorphism

$$\mathrm{Hom}_{R_f}(M_f, N_f) \simeq (\mathrm{Hom}_R(M, N))_f.$$

Consequently, as  $\mathcal{O}_X$ -modules we get an isomorphism

$$\underline{\mathrm{Hom}}_{\mathcal{O}_X}(\widetilde{M}, \widetilde{N}) \simeq (\mathrm{Hom}_R(M, N))^\sim. \quad \square$$

PROBLEM 11. Prove that for  $R$ -modules  $M$  and  $N$  there is an isomorphism

$$M_f \otimes_{R_f} N_f \simeq (M \otimes_R N)_f$$

of  $R_f$ -modules.

The structure of a quasicohherent sheaf over an affine scheme can be explained as follows.

THEOREM 4.21. *An  $\mathcal{O}_X$ -module  $\mathcal{F}$  over an affine scheme  $X = \mathrm{Spec} R$  is quasicohherent if and only if  $\mathcal{F}$  is isomorphic to the associated sheaf  $\widetilde{M}$  with an  $R$ -module  $M$ . Then  $\Gamma(X, \mathcal{F})$  is isomorphic to  $M$  as  $R$ -modules.*

PROOF. We have already shown in Example 4.19 that for an  $R$ -module  $M$ , the sheaf  $\widetilde{M}$  is a quasicohherent  $\mathcal{O}_X$ -module. Conversely, for a quasicohherent  $\mathcal{O}_X$ -module  $\mathcal{F}$ , let  $M = \Gamma(X, \mathcal{F})$ . We will show that  $\widetilde{M}$  is isomorphic to  $\mathcal{F}$  as  $\mathcal{O}_X$ -modules. Since  $\mathcal{F}$  is quasicohherent, for an arbitrary point  $\mathfrak{p} \in \mathrm{Spec} R$  there exists an open neighborhood  $D(f)$  of  $\mathfrak{p}$  such that

$$(\mathcal{O}_X|_{D(f)})^{\oplus I} \xrightarrow{\varphi} (\mathcal{O}_X|_{D(f)})^{\oplus J} \xrightarrow{\psi} \mathcal{F}|_{D(f)} \rightarrow 0$$